

04-13-01

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****CERTIFICATE OF EXPRESS MAILING**

I certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on April 11, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number 544719US, addressed to the Commissioner for Patents, Washington, DC 20231.

Neely Jo Weldy

Attorney Docket No. SUNMP003

First Named Inventor: Vladimir Matena

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

 Duplicate for  
fee processing

**Sir:** This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Vladimir Matena, Rahul Sharma, Masood Mortazavi, and Sanjeev Krishnan

**For:** METHOD AND APPARATUS FOR PERFORMING ONLINE APPLICATION UPGRADES IN A JAVA PLATFORM

**Application Elements:**

- 48 Pages of Specification, Claims and Abstract
- 24 Sheets of Drawings (Informal)
- 02 Pages Declaration

**Accompanying Application Parts:**

- Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- 
- 37 CFR 3.73(b) Statement by Assignee
- Information Disclosure Statement with Form PTO-1449
  - Copies of IDS Citations
- 
- Preliminary Amendment
- Return Receipt Postcard
- Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- Other: Power of Attorney By Assignee and Exclusion of Inventor under 37 C.F.R. 1.32

**Fee Calculation (37 CFR § 1.16)**

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>SMALL ENTITY</u>	<u>OR</u>	<u>LARGE ENTITY</u>		
			<u>RATE</u>	<u>FEES</u>	<u>RATE</u>	<u>FEES</u>	
BASIC FEE			\$355	\$	OR	\$710	\$710
TOTAL CLAIMS	21	-20 = 1	x09=	\$	OR	x18 =	\$ 18
INDEP CLAIMS	3	-3 = 0	x40 =	\$	OR	x80 =	\$
[ ] Multiple Dependent Claim Presented			\$135 =	\$	OR	\$270 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	\$728

- Check No. 5116 in the amount of \$768.00 is enclosed.

The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. SUNMP003).

Request for Small Entity Status

Applicant is entitled to small entity status.

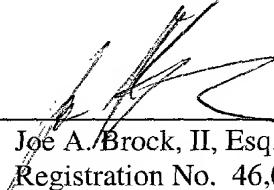
General Authorization for Petition for Extension of Time (37 CFR §1.136)

Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. SUNMP003).

Please send correspondence to the following address:

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Date: April 11, 2001

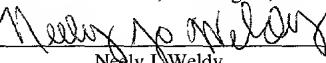
  
Joe A. Brock, II, Esq.  
Registration No. 46,021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )  
Matena et al. ) Examiner: Unassigned  
Application No. [To be assigned] ) Art Unit: Unassigned  
Filed: April 11, 2001 ) Docket No. SUNMP003  
For: METHOD AND APPARATUS FOR PERFORMING ONLINE )  
APPLICATION UPGRADES IN A JAVA PLATFORM )  
)

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Signed:   
Neely J. Weldy

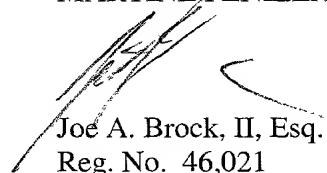
**REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE PENILLA & KIM, LLP



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